

Agenda – Senedd Commission Audit and Risk Assurance Committee

Meeting Venue:

Microsoft Teams

Meeting date: 29 April 2022

Meeting time: 10.00–12.30

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

0300 200 6543

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1 Introductions, apologies and declaration of interests

2 Minutes of 14 February, actions and matters arising

(Pages 1 – 13)

Attached Documents:

ARAC (22-02) Paper 1 – Minutes 14 February 2022

ARAC (22-02) Paper 2 – Actions 14 February 2022

3 COVID-19 – Corporate update

Oral update

4 G&A update report

(Pages 14 – 20)

Attached Documents:

ARAC (22-02) Paper 3 – Governance and Assurance Update – April 2022

5 Internal Audit Charter and Internal Audit's compliance with Public Sector Internal Audit Standard (PSIAS)

(Pages 21 – 32)



Attached Documents:

ARAC (22-02) Paper 4 – Internal Audit Charter – cover paper

ARAC (22-02) Paper 4 – Annex A – Internal Audit Charter 2022

6 Internal Audit Annual Report and Opinion

(Pages 33 – 43)

Attached Documents:

ARAC (22-02) Paper 5 – Internal Audit Annual Report and Opinion 2021-22

7 Annual Report on Fraud

(Pages 44 – 48)

Attached Documents:

ARAC (22-02) Paper 6 – Annual Report on Fraud 2021-22

8 Latest Internal Audit report(s)

(Pages 49 – 65)

Attached Documents:

ARAC (22-02) Paper 7 – Winding up of Members' Offices

9 Emerging findings and advice to Accounting Officer regarding submission of the draft Annual Report and Accounts to the Commission

(Pages 66 – 68)

Attached Documents:

ARAC (22-02) Paper 8 – Audit Wales ARAC Update

10 Joint working protocol

Oral item – update in paper 8 refers

11 Commission's draft Annual Report and Governance Statement for 2021–22

(Pages 69 – 177)

Attached Documents:

ARAC (22–02) Paper 9 – Draft Annual Report and Accounts 2021–22 – cover paper

ARAC (22–02) Paper 9 Annex A – Draft Annual Report Narrative 2021–22

ARAC (22–02) Paper 9 Annex B – Draft Governance Statement 2021–22

12 Update on Cyber Security

(Pages 178 – 219)

Attached Documents:

ARAC (22–02) Paper 10 – Cyber Security Assurance Report

13 Corporate Risk

(Pages 220 – 247)

Attached Documents:

ARAC (22–02) Paper 11 – Corporate Risk

ARAC (22–02) Paper 11 – Annex A – Summary Corporate Risk Register

ARAC (22–02) Paper 11 – Annex B – Corporate Risk plotted

14 Critical examination of one identified risk – Data Protection risks

Oral item – updates on DP risks (Legal–R–66 and Legal–R–68) in paper 11 Annex A refer

15 SIRO Annual Report

(Pages 248 – 255)

Attached Documents:

ARAC (22–02) Paper 12 – SIRO Annual Report 2021–22

16 Departure Summary

(Pages 256 – 257)

Attached Documents:

ARAC (22-02) Paper 13 – Departures Summary

17 Finance Update and update on upgrade to finance system

Oral update

18 Committee's Annual Report to the Commission and Accounting Officer

Oral item

19 Forward work programme

(Pages 258 – 262)

Attached Documents:

ARAC (22-02) Paper 14 – Forward Work Programme